

**FEC  
FORM 3X****REPORT OF RECEIPTS  
AND DISBURSEMENTS**  
For Other Than An Authorized Committee

Office Use Only

1. NAME OF  
COMMITTEE (in full)**USE FEC MAILING LABEL  
OR TYPE OR PRINT**Example: If typing, type  
over the lines

Credit Suisse Securities (USA) LLC Political Action Committee

ADDRESS (number and street)

1201 F Street, NW Suite 450

☐Check if different  
than previously  
reported. (ACC)

Washington

DC

20004

2. **FEC IDENTIFICATION NUMBER**

CITY

STATE

ZIP CODE

C00111559

3. IS THIS  
REPORT☒NEW  
(N)

OR

☐AMENDED  
(A)4. **TYPE OF REPORT**

(Choose One)

(a) Quarterly Reports:

☐April 15  
Quarterly Report (Q1)☐July 15  
Quarterly Report (Q2)☐October 15  
Quarterly Report (Q3)☐January 31  
Quarterly Report (YE)☐July 31 Mid-Year  
Report (Non-election  
Year Only) (MY)☐Termination Report  
(TER)(b) Monthly  
Report  
Due On:☐

Feb 20 (M2)

☐

May 20 (M5)

☒

Aug 20 (M8)

☐Nov 20 (M11)  
(Non-Election  
Year Only)☐

Mar 20 (M3)

☐

Jun 20 (M6)

☐

Sep 20 (M9)

☐Dec 20 (M12)  
(Non-Election  
Year Only)☐

Apr 20 (M4)

☐

Jul 20 (M7)

☐

Oct 20 (M10)

☐

Jan 31 (YE)

(c) 12-Day  
**PRE-Election**  
Report for the:☐

Primary (12P)

☐

General (12G)

☐

Runoff (12R)

☐

Convention (12C)

☐

Special (12G)

Election on

in the  
State of(d) 30-Day  
**Post -Election**  
Report for the:☐

General (30G)

☐

Runoff (30R)

☐

Special (30S)

Election on

in the  
State of

5. Covering Period

07

01

2011

through

07

31

2011

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

Mr Michael W, Williams

Signature of Treasurer

Electronically Filed by Mr Michael W, Williams

Date

08

11

2011

NOTE : Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C 437g.

Office  
Use  
Only**FEC FORM 3X**  
(Rev. 12/2004)

# SUMMARY PAGE

## OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name

Credit Suisse Securities (USA) LLC Political Action Committee

Report Covering the Period:

From:

M	M	D	D	Y	Y	Y	Y
0	7	0	1	2	0	1	1

To:

M	M	D	D	Y	Y	Y	Y
0	7	3	1	2	0	1	1

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1 <span>2011</span>		297167.69
(b) Cash on Hand at Beginning of Reporting Period .....	198394.51	
(c) Total Receipts (from Line 19) .....	38049.43	307394.44
(d) Subtotal (add lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B) .....	236443.94	604562.13
7. Total Disbursements (from Line 31) .....	25000.00	393118.19
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)) .....	211443.94	211443.94
9. Debts and Obligations owed <b>TO</b> the committee (Itemize all on Schedule C and/or Schedule D) .....	0.00	
10. Debts and Obligations owed <b>BY</b> the committee (Itemize all on Schedule C and/or Schedule D) .....	0.00	

☒ This Committee has qualified as a multicandidate committee. (see FEC FORM 1M)

### For further information contact:

Federal Election Commission  
999 E street, NW  
Washington, DC 20463

Toll Free 800-424-9530  
Local 202-694-1100

# **DETAILED SUMMARY PAGE OF RECEIPTS**

FEC Form 3X (Rev. 06/2004)

Page 3

Write or Type Committee Name

Credit Suisse Securities (USA) LLC Political Action Committee

Report Covering the Period:

From:

M	M	D	D	Y	Y	W	Y
0	7	0	1	2	0	1	1

To:

M	M	D	D	Y	Y	Y	Y
0	7	3	1	2	0	1	1

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A) .....	29621.61	192698.96
(ii) Unitemized .....	8427.82	114695.48
(iii) TOTAL (add Lines 11(a)(i) and (ii) .....	38049.43	307394.44
(b) Political Party Committees .....	0.00	0.00
(c) Other Political Committees (such as PACs) .....	0.00	0.00
(d) Total Contributions (add Lines 11(a)(iii),(b) and (c)) (Carry Totals to Line 33, page 5) .....	38049.43	307394.44
12. Transfers From Affiliated/Other Party Committees .....	0.00	0.00
13. All Loans Received .....	0.00	0.00
14. Loan Repayments Received .....	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5) .....	0.00	0.00
16. Refunds of Contributions Made to Federal candidates and Other Political Committees .....	0.00	0.00
17. Other Federal Receipts (Dividends, Interest, etc.) .....	0.00	0.00
18. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3) .....	0.00	0.00
(b) Levin Funds (from Schedule H5) .....	0.00	0.00
(c) Total Transfer (add 18(a) and 18(b)).	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c)) .....	38049.43	307394.44
20. Total Federal Receipts (subtract Line 18(c) from Line 19) .....	38049.43	307394.44

II. DISBURSEMENTS		COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:			
(a) Shared Federal/Non-Federal Activity (from Schedule H4)			
(i) Federal Share.....	0.00	0.00	
(ii) Non-Federal Share.....	0.00	0.00	
(b) Other Federal Operating Expenditures.....	0.00	0.00	
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii) and (b)).....	0.00	0.00	
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00	
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	25000.00	393000.00	
24. Independent Expenditure (use Schedule E) .....	0.00	0.00	
25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F).....	0.00	0.00	
26. Loan Repayments Made.....	0.00	0.00	
27. Loans Made.....	0.00	0.00	
28. Refunds of Contributions To:			
(a) Individuals/Persons Other Than Political Committees .....	0.00	118.19	
(b) Political Party Committees	0.00	0.00	
(c) Other Political Committees (such as PACs) .....	0.00	0.00	
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)) .....	0.00	118.19	
29. Other Disbursements.....	0.00	0.00	
30. Federal Election Activity (2 U.S.C 431(20))			
(a) Shared Federal Election Activity (from Schedule H6)			
(i) Federal Share .....	0.00	0.00	
(ii) "Levin" Share .....	0.00	0.00	
(b) Federal Election Activity Paid Entirely With Federal Funds .....	0.00	0.00	
(c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b))....	0.00	0.00	
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c))..	25000.00	393118.19	
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	25000.00	393118.19	

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) from Line 11(d), page 3) .....	38049.43	307394.44
34. Total Contribution Refunds (from Line 28(d)) .....	0.00	118.19
35. Net Contributions (other than loans) (subtract Line 34 from Line 33) .....	38049.43	307276.25
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)).....	0.00	0.00
37. Offsets to Operating Expenditures (from Line 15, page 3) .....	0.00	0.00
38. Net Operating Expenditures (subtract Line 37 from Line 36) .....	0.00	0.00

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 6 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Timothy J Barnes

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 2 4 / 2 0 1 1

Transaction ID: 10180948

Amount of Each Receipt this Period

500.00

**B.**

Full Name (Last, First, Middle Initial)

Andrew W Leonard

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 0 2 / 2 0 1 1

Transaction ID: 10181163

Amount of Each Receipt this Period

500.00

**C.**

Full Name (Last, First, Middle Initial)

Sanjeev Chaurasia

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

270.79

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 1 5 / 2 0 1 1

Transaction ID: 10181416

Amount of Each Receipt this Period

20.83

**SUBTOTAL** of Receipts This Page (optional) .....

1020.83

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 7 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

C. A. Dillon III

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

270.79

Date of Receipt

M M / D D / Y Y Y Y  
07 / 15 / 2011

Transaction ID: 10181478

Amount of Each Receipt this Period

20.83

**B.**

Full Name (Last, First, Middle Initial)

Bruce K. Lee

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1354.08

Date of Receipt

M M / D D / Y Y Y Y  
07 / 15 / 2011

Transaction ID: 10181707

Amount of Each Receipt this Period

104.16

**C.**

Full Name (Last, First, Middle Initial)

Anthony J O'Callaghan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

270.79

Date of Receipt

M M / D D / Y Y Y Y  
07 / 15 / 2011

Transaction ID: 10181831

Amount of Each Receipt this Period

20.83

**SUBTOTAL** of Receipts This Page (optional) .....

145.82

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 8 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Matthew B. Waldman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

270.79

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 1 5 / 2 0 1 1

Transaction ID: 10182035

Amount of Each Receipt this Period

20.83

**B.**

Full Name (Last, First, Middle Initial)

John N Dabbs

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.30

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 2 9 / 2 0 1 1

Transaction ID: 10182079

Amount of Each Receipt this Period

20.83

**C.**

Full Name (Last, First, Middle Initial)

Juan Carlos Rodriguez

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

388.92

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 2 9 / 2 0 1 1

Transaction ID: 10182080

Amount of Each Receipt this Period

55.56

**SUBTOTAL** of Receipts This Page (optional) .....

97.22

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 9 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Edward A Johnson

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.30

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 2 9 / 2 0 1 1

Transaction ID: 10182418

Amount of Each Receipt this Period

-20.83

**B.**

Full Name (Last, First, Middle Initial)

Bruce K. Lee

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1413.28

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 2 9 / 2 0 1 1

Transaction ID: 10182484

Amount of Each Receipt this Period

59.20

**C.**

Full Name (Last, First, Middle Initial)

Thomas T. Chung

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11324355040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

80.03

**TOTAL** This Period (last page this line number only) .....

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 10 / 139

(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

David S Alterman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	7	/	3	1	/	2	0	1	1

Transaction ID: PR11324365040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-  
i-Monthly)**B.**

Full Name (Last, First, Middle Initial)

Richard M Dart

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	7	/	3	1	/	2	0	1	1

Transaction ID: PR11324395040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-  
i-Monthly)**C.**

Full Name (Last, First, Middle Initial)

Douglas Nieters

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	7	/	3	1	/	2	0	1	1

Transaction ID: PR11324475040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-  
i-Monthly)

SUBTOTAL of Receipts This Page (optional) .....

124.98

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 11 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Jeffrey S Sohm

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11324605040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Francis H Lusardi

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11324635040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

George W Coleman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

583.38

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11324655040

Amount of Each Receipt this Period

83.34

P/R Deduction (\$41.67 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

166.66

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 12 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Chris Campbell

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11324705040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Mika A. Toikka

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11324715040

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Kenneth J Dougherty

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11324785040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional) .....

166.64

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 13 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Peter Song

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11324835040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Salvatore Favia

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11324845040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

John S Harrison

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1458.24

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11324865040

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

291.64

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 14 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Joseph F Huber

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11324895040

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Gerald M Lodge

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1458.24

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11324995040

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Thomas H Ritchie

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11325065040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

333.30

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 15 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Marcia L Kessler

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11325085040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Adam B Plissner

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11325135040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Michael P Mulholland

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11325145040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

124.98

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER: PAGE 16 / 139

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Janet M. Yung

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11325165040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Ira A Negin

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11325175040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Edward A Poletti

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11325195040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

124.98

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 17 / 139

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Carlos Onis

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1458.24

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11325205040

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Se-  
mi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

John Craig Oxman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11325215040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Timothy E. Perry

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11325245040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

291.64

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 18 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Douglas L Paul

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1458.24

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11325255040

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Se-  
mi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Stephen H. Can

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1400.00

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11325265040

Amount of Each Receipt this Period

200.00

P/R Deduction (\$100.00 Se-  
mi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Michael C Speller

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11325305040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

449.98

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 19 / 139

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Michael H. Schoen

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1458.24

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11325325040

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Se-  
mi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Gavin H Wolfe

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11325385040

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

John C Robertshaw

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1458.24

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11325435040

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Se-  
mi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

499.96

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 20 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Patrice Aitken

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11325445040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

James D Garrity

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11325455040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Lewis H Wirshba

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1458.24

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11325465040

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

291.64

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 21 / 139

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Richard A Hightower

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11325475040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Stephen L Oplinger

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11325495040

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Michael S Isikow

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11325505040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional) .....

166.64

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 22 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Steven H Garnett

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

583.38

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11325515040

Amount of Each Receipt this Period

83.34

P/R Deduction (\$41.67 Sem-  
i-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Timothy J Croak

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

280.00

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11325535040

Amount of Each Receipt this Period

40.00

P/R Deduction (\$20.00 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

George C Lin

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11325545040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

165.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 23 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Ladd W McQuade

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1458.24

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11325645040

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Se-  
mi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Michael Paliotta

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11325655040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Deborah A Langiulli

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11325665040

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

333.30

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 24 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Paul J O'Keefe

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11325675040

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Sem-  
i-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Faye Yezril

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

583.38

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11325715040

Amount of Each Receipt this Period

83.34

P/R Deduction (\$41.67 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

John E Cogan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

823.48

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11325755040

Amount of Each Receipt this Period

117.64

P/R Deduction (\$58.82 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

284.30

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 25 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

William Lau

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11325835040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Raymond S Wood

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1458.24

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11325915040

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Andrew M Hatcher

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11325965040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

291.64

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 26 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Amin Khadduri

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1458.24

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11326005040

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Se-  
mi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Ian J Buckley

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11326025040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Sheldon Hanau

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1458.24

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11326035040

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Se-  
mi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

458.30

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 27 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Jennifer Pepe McDade

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11326045040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Philip S Vasan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2847.20

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11326085040

Amount of Each Receipt this Period

555.56

P/R Deduction (\$277.78 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Jill E Ford

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11326135040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

638.88

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 28 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Bruce W Ling

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1513.07

Date of Receipt

M M / D D / Y Y Y Y  
07 / 31 / 2011

Transaction ID: PR11326195040

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Se-  
mi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Peter V Meyer

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
07 / 31 / 2011

Transaction ID: PR11326225040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Alexander V Kroner

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
07 / 31 / 2011

Transaction ID: PR11326245040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

291.64

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 29 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Louis J Impellizeri

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11326275040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Thomas E Fusco

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1458.24

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11326305040

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Colleen A Graham

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11326335040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

291.64

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 30 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Daniel H Miller

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11326345040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Edmund F Taylor

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1458.24

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11326365040

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

George L Michaels

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11326385040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

291.64

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 31 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Steven M Yanez

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11326425040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Gautam S Gujral

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1458.24

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11326495040

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Edward S Nadel

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11326515040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

291.64

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 32 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Constance V Sailer

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11326535040

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Reiner Boehning

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11326555040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Steven M Kaiman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11326655040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

166.64

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 33 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Brent A Patry

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11326675040

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Patrick C Gorman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11326695040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Emmet A Murphy

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11326735040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

166.64

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 34 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Eduardo Vieira

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11326745040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Richard J Montero

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11326785040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

David N. Frank

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1458.24

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11326825040

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

291.64

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 35 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Lawrence M Latimer

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11326845040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

James J Jaxon

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11326885040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

David J Barrish

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11326895040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

124.98

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 36 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Victoria L Harmon

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11326975040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Maria Chiodi

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

411.74

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11327005040

Amount of Each Receipt this Period

58.82

P/R Deduction (\$29.41 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Christina Richardson-Bourke

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11327065040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

142.14

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 37 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Ira F Jersey

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

222.24

Date of Receipt

M M / D D / Y Y Y Y  
07 / 31 / 2011

Transaction ID: PR11327135040

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Jodi DeVito

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M M / D D / Y Y Y Y  
07 / 31 / 2011

Transaction ID: PR11327235040

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Skip S Seeder

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
07 / 31 / 2011

Transaction ID: PR11327255040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

180.54

**TOTAL** This Period (last page this line number only) .....

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 38 / 139

(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Craig Sterling

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	7		3	1		2	0	1	1

Transaction ID: PR11327275040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-  
i-Monthly)**B.**

Full Name (Last, First, Middle Initial)

Willie R. Pest

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	7		3	1		2	0	1	1

Transaction ID: PR11327445040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-  
i-Monthly)**C.**

Full Name (Last, First, Middle Initial)

William E. Beck

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	7		3	1		2	0	1	1

Transaction ID: PR11327575040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-  
i-Monthly)

SUBTOTAL of Receipts This Page (optional) .....

124.98

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 39 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Todd M. Orlich

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11327625040

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Gregory L. Piccinino

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11327635040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Charles P. Pieper

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1458.24

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11327685040

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

333.30

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 40 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Mike D. Custar

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11327775040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Mark Barres

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11327815040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

William I Woodson

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11327825040

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

166.64

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 41 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Sean T Flynn

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

388.92

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11327885040

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Yong Yan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11327915040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Joshua A. Lukeman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11327945040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

138.88

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 42 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Clare M. Giffin

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11328005040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Jeffrey D. Schor

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11328025040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Gita S. Redfearn

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11328105040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

124.98

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 43 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Jiawei Zhang

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11328445040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

George E. Dale

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11328455040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Thomas A. Finlan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11328535040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

124.98

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 44 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Douglas A Simons

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1458.24

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11328585040

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Se-  
mi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Mark L Washburn

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11328625040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Neil A. Mitchell

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1458.24

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11328665040

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Se-  
mi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

458.30

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 45 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

James M Bernard

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11328695040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Alan C. Wolman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11328775040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Andrew G. Ewing

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11328795040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

124.98

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 46 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Neil Carragher

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1458.24

Date of Receipt

M M / D D / Y Y Y Y  
07 / 31 / 2011

Transaction ID: PR11328855040

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Se-  
mi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Jeffrey C. Ponko

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
07 / 31 / 2011

Transaction ID: PR11328895040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

David M. Gray

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1458.24

Date of Receipt

M M / D D / Y Y Y Y  
07 / 31 / 2011

Transaction ID: PR11328925040

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Se-  
mi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

458.30

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 47 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Brent D. Robbins

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
07 / 31 / 2011

Transaction ID: PR11329005040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Anthony P Brookfield

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

205.94

Date of Receipt

M M / D D / Y Y Y Y  
07 / 31 / 2011

Transaction ID: PR11329085040

Amount of Each Receipt this Period

29.42

P/R Deduction (\$14.71 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Anthony DeChellis

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1458.24

Date of Receipt

M M / D D / Y Y Y Y  
07 / 31 / 2011

Transaction ID: PR11329095040

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

279.40

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 48 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

John Fisher

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11329215040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Matthew S DeSalvo

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1458.24

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11329265040

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Dwight A.L. Skerritt

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11329295040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

291.64

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 49 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

George F. Kasten

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11329355040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Steven J Reis

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11329415040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Russell J Burns

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

583.38

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11329435040

Amount of Each Receipt this Period

83.34

P/R Deduction (\$41.67 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

166.66

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 50 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Scott Corman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1458.38

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11329445040

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Se-  
mi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

John Kneafsey

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11329535040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Daniel Slattery

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11329575040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

291.66

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 51 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Mohamed Subrati

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

233.24

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11329585040

Amount of Each Receipt this Period

33.32

P/R Deduction (\$16.66 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Judith E. Smith

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11329595040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Satyajit Bhattacharya

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11329635040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

116.64

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 52 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Thomas Prevost

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11329665040

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

William L. Raincsuk

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1458.24

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11329755040

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Charles A Skowron

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11329775040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

333.30

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Jamie L. Cook

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11329785040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Karen M Snow

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11329875040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Sean C. Gray

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11329955040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

124.98

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 54 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Christopher J. Ceraso

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11329975040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Andrew B Federbusch

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

700.00

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11329995040

Amount of Each Receipt this Period

100.00

P/R Deduction (\$50.00 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Edward J McCann

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11330035040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

183.32

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 55 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Shawn J Sullivan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11330175040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Kevin E Naughton

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11330265040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

William J. Belleville Jr.

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11330285040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

124.98

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 56 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Timothy A. Dod

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1166.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11330315040

Amount of Each Receipt this Period

166.66

P/R Deduction (\$83.33 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Steven M. Bock

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11330325040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Susan Atkinson-Alcock

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR11330405040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

249.98

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 57 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

James L Amine

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1458.24

Date of Receipt

M M / D D / Y Y Y Y  
07 / 31 / 2011

Transaction ID: PR12364275040

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Se-  
mi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Nicole S Arnaboldi

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

411.74

Date of Receipt

M M / D D / Y Y Y Y  
07 / 31 / 2011

Transaction ID: PR12364285040

Amount of Each Receipt this Period

117.64

P/R Deduction (\$58.82 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Robert L Basso

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1458.24

Date of Receipt

M M / D D / Y Y Y Y  
07 / 31 / 2011

Transaction ID: PR12364345040

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Se-  
mi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

534.28

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Maureen R Coen

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR12364625040

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Matthew Donner

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR12364755040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Stephen R Dunne

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

388.92

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR12364815040

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

180.54

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Matthew W Gorman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR12365065040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Stephen E. Gray

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

444.48

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR12365085040

Amount of Each Receipt this Period

111.12

P/R Deduction (\$55.56 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Louise Guarneri

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

205.87

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR12365185040

Amount of Each Receipt this Period

58.82

P/R Deduction (\$29.41 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

211.60

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 60 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Eric Keatley

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR12365395040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Bruce Klein

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR12365445040

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Ramanujachary Kumanduri

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

222.24

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR12365505040

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

180.54

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 61 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Stephen McMorran

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR12365775040

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Stefano Natella

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1458.24

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR12365905040

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Moshe Orenbuch

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR12365945040

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

374.96

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Verdun S. Perry

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR12366005040

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Robert N. Pomroy

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1458.24

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR12366025040

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Joseph E Reece

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1458.24

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR12366065040

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

499.96

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Joseph R Sevia

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR12366235040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Stuart F Smith

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR12366365040

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Robert M. Spingarn

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR12366405040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

166.64

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Luther L Terry Jr

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1111.12

Date of Receipt

M M / D D / Y Y Y Y  
07 / 31 / 2011

Transaction ID: PR12366495040

Amount of Each Receipt this Period

277.78

P/R Deduction (\$138.89 Se-  
mi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Albert L Seward III

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1458.24

Date of Receipt

M M / D D / Y Y Y Y  
07 / 31 / 2011

Transaction ID: PR12691135040

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Se-  
mi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Anthony Blasi

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
07 / 31 / 2011

Transaction ID: PR12691225040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

527.76

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 65 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Dean Wilson Ervin

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1750.00

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR12691395040

Amount of Each Receipt this Period

250.00

P/R Deduction (\$125.00 Se-  
mi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Jeffrey P Utz

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR12691855040

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Michaelle I. Burstin

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR12692255040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

374.98

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 66 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Stephen D Simberg

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR12692675040

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Scott M Townell

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR16350785040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Katherine C Joyce

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR17218345040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

166.64

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 67 / 139

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Victoria A Longo

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M M / D D / Y Y Y Y  
07 / 31 / 2011

Transaction ID: PR17871575040

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

John C Broderick

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

368.48

Date of Receipt

M M / D D / Y Y Y Y  
07 / 31 / 2011

Transaction ID: PR17884485040

Amount of Each Receipt this Period

52.64

P/R Deduction (\$26.32 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Alastair J Cairns

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
07 / 31 / 2011

Transaction ID: PR17884495040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

177.62

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 68 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Christopher Carter

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

388.92

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR17884505040

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Frank R Malarkey

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR17884605040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Benjamin A Ruf

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR17884655040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

138.88

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 69 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Peter O Skoglund

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR17884665040

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

William J Szilasi

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR17884685040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Richard S Wallach

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR17884715040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

166.64

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 70 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Andrew J Yao

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
07 / 31 / 2011

Transaction ID: PR17884725040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Richard Blond

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M M / D D / Y Y Y Y  
07 / 31 / 2011

Transaction ID: PR22263755040

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Michael S Burke

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

411.74

Date of Receipt

M M / D D / Y Y Y Y  
07 / 31 / 2011

Transaction ID: PR22263795040

Amount of Each Receipt this Period

58.82

P/R Deduction (\$29.41 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

183.80

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 71 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Michele D Cubic

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

538.58

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR22263815040

Amount of Each Receipt this Period

76.94

P/R Deduction (\$38.47 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Denise A Fiallo

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR22263865040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Kurt R Gearhart

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR22263885040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

160.26

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 72 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

William F Hughes

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR22263945040

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Derek K Jones

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR22263975040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Michael Kurinets

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR22264025040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

166.64

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 73 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Carlos A Laboy

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1458.24

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR22264035040

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Se-  
mi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Mara B Lipner

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR22264055040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Todd Macy

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR22264075040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

291.64

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 74 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Scott C. Miller

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR22264125040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Michael S Nies

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR22264165040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Shantha Ozgen

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR22264185040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

124.98

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 75 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Steven C Pierson

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1458.24

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR22264205040

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Se-  
mi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Hari Raghavan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR22264215040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Jack L Rubin

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR22264245040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

291.64

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 76 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

David W Smythe

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

364.56

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR22264275040

Amount of Each Receipt this Period

52.08

P/R Deduction (\$26.04 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Daniel L Eggers

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

368.48

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR22264415040

Amount of Each Receipt this Period

52.64

P/R Deduction (\$26.32 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Henry N Goodman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

205.94

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR22264435040

Amount of Each Receipt this Period

29.42

P/R Deduction (\$14.71 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

134.14

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 77 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Michael Jakob

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR22264455040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Thomas P Rorke

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR222644485040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Matthew H. Wosk

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR22264515040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

124.98

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 78 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Robert J Bernstone

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR22336815040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Anthony K Kontoleon

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR22336835040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Mark D Callahan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR22620775040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

124.98

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 79 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Kristin Roth DeClark

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

222.24

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR32913155040

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

John A Anderson

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.35

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR33028565040

Amount of Each Receipt this Period

133.34

P/R Deduction (\$66.67 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

David B Andrews

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR33028585040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

230.56

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 80 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Timothy J Scanlon

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M M / D D / Y Y Y Y  
07 / 31 / 2011

Transaction ID: PR33028685040

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Christopher T Wagner

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
07 / 31 / 2011

Transaction ID: PR33052505040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Fredrik L Akesson

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

368.48

Date of Receipt

M M / D D / Y Y Y Y  
07 / 31 / 2011

Transaction ID: PR33061315040

Amount of Each Receipt this Period

52.64

P/R Deduction (\$26.32 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

177.62

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Sean T Brady

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

736.82

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR33061375040

Amount of Each Receipt this Period

105.26

P/R Deduction (\$52.63 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Jessica H. Brennan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

578.93

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR33061385040

Amount of Each Receipt this Period

-52.63

P/R Deduction (\$-52.63 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Peter M Carter

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

368.48

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR33061405040

Amount of Each Receipt this Period

52.64

P/R Deduction (\$26.32 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

105.27

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 82 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Jonathan F Chiarieri

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

368.48

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR33061415040

Amount of Each Receipt this Period

52.64

P/R Deduction (\$26.32 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Matthew J Dillig

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

368.48

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR33061455040

Amount of Each Receipt this Period

52.64

P/R Deduction (\$26.32 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Robert E Durham

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

736.82

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR33061465040

Amount of Each Receipt this Period

105.26

P/R Deduction (\$52.63 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

210.54

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 83 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Peter J Feeney

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

736.82

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR33061475040

Amount of Each Receipt this Period

105.26

P/R Deduction (\$52.63 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Susan R Fellner

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

222.24

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR33061485040

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Sanford M Katz

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR33061625040

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

244.14

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 84 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Richard J Kirsch

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR33061635040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

David J Maloy

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR33061735040

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Charles T McKinney

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR33061765040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

166.64

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 85 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Dolores O Miller

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR33061795040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Michael E Moran

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR33061805040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Christopher L O'Donnell

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR33061865040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

124.98

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Michael A Proskin

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y  
07 / 31 / 2011

Transaction ID: PR33061915040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Philip J Struthers

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y  
07 / 31 / 2011

Transaction ID: PR33061925040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Gautam K Ahuja

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

388.92

Date of Receipt

M M / D D / Y Y Y Y Y  
07 / 31 / 2011

Transaction ID: PR33583465040

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

138.88

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 87 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Garry T Collins

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

388.92

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR33583505040

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

James F Martin

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR33583555040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Henry B Moog

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR33583565040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

138.88

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 88 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Lee D Abbey

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

205.94

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR33583575040

Amount of Each Receipt this Period

29.42

P/R Deduction (\$14.71 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Martin D Amann

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

205.94

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR33583585040

Amount of Each Receipt this Period

29.42

P/R Deduction (\$14.71 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Bruce B. Anderson

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

205.94

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR33583595040

Amount of Each Receipt this Period

29.42

P/R Deduction (\$14.71 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

88.26

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 89 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Lauren E Barnes

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

205.94

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR33583625040

Amount of Each Receipt this Period

29.42

P/R Deduction (\$14.71 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Rohit Bhatia

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

205.94

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR33583635040

Amount of Each Receipt this Period

29.42

P/R Deduction (\$14.71 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Stephen Bielskie Jr.

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

205.94

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR33583645040

Amount of Each Receipt this Period

29.42

P/R Deduction (\$14.71 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

88.26

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 90 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Jamie V Blond

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR33583665040

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Sem-  
i-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Joo Y Boe

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

205.94

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR33583675040

Amount of Each Receipt this Period

29.42

P/R Deduction (\$14.71 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Roderick O Burns

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

411.74

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR33583695040

Amount of Each Receipt this Period

58.82

P/R Deduction (\$29.41 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

171.56

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 91 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Paul V Calderone

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

411.74

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR33583715040

Amount of Each Receipt this Period

58.82

P/R Deduction (\$29.41 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Simon Chiu

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

411.74

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR33583735040

Amount of Each Receipt this Period

58.82

P/R Deduction (\$29.41 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Gregory C Dietrich

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

411.74

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR33583775040

Amount of Each Receipt this Period

58.82

P/R Deduction (\$29.41 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

176.46

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 92 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

James Doherty

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

411.74

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR33583785040

Amount of Each Receipt this Period

58.82

P/R Deduction (\$29.41 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Thomas J Drake

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

205.94

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR33583795040

Amount of Each Receipt this Period

29.42

P/R Deduction (\$14.71 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Balaji Gopalakrishnan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

823.48

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR33583875040

Amount of Each Receipt this Period

117.64

P/R Deduction (\$58.82 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

205.88

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 93 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Fabian Guzman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

205.94

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR33583905040

Amount of Each Receipt this Period

29.42

P/R Deduction (\$14.71 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Amy B Hudson

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR33583925040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Susan H Jo

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR33583935040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

112.74

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 94 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Ishan Lal

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR33583975040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Robert B Perlman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR33584045040

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Nathan P Romano

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR33584095040

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

208.30

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 95 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Anthony J Rose

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR33584105040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

David A Schrader

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR33584135040

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Salvatore J Seguna

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR33584145040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

166.64

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Craig W Siegenthaler

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

222.24

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR33584165040

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Eric J Staub

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR33584185040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Alan K Chen

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.82

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR33683235040

Amount of Each Receipt this Period

31.26

P/R Deduction (\$15.63 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

128.48

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 97 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Kenneth R Deasy

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.82

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR33683245040

Amount of Each Receipt this Period

31.26

P/R Deduction (\$15.63 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Andrew L McCormick

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR38303655040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

William J Maher

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

222.24

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR38409665040

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

128.48

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 98 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Joseph K Kieffer

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

222.24

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR38478655040

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Ben Oren

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

444.48

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR38478735040

Amount of Each Receipt this Period

111.12

P/R Deduction (\$55.56 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Borislav B Arabadjiev

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

541.58

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR39315765040

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

250.00

**TOTAL** This Period (last page this line number only) .....

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 99 / 139

(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Timothy P Bentham

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	7		3	1		2	0	1	1

Transaction ID: PR39315805040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-  
i-Monthly)**B.**

Full Name (Last, First, Middle Initial)

David J Bobeck

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	7		3	1		2	0	1	1

Transaction ID: PR39315835040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-  
i-Monthly)**C.**

Full Name (Last, First, Middle Initial)

Edin Buturovic

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	7		3	1		2	0	1	1

Transaction ID: PR39315865040

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Sem-  
i-Monthly)

SUBTOTAL of Receipts This Page (optional) .....

166.64

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Howard Chen

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR39315885040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Brian F Connors

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR39315915040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Zachary Felshman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR39316005040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

124.98

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Margaret M Gage

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR39316025040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Laura M Goodrich

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR39316075040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Jason D Halio

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR39316125040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

124.98

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Andrew L Hubbard

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR39316175040

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

David H Katz

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR39316215040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Jon M Klein

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR39316245040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

166.64

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 103 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Sara Lander

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR39316275040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Marc A Mansourian

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR39316285040

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Michael F. Marco

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR39316295040

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

208.30

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 104 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Dennis Marrero

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR39316305040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Edouard A Matitia Cohen

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR39316315040

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Edward McAlea

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR39316325040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

166.64

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Christopher H Mulshine

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR39316375040

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Thomas F. Reilly

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR39316435040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Stephanie M Ruiz

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR39316455040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

166.64

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 106 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Parshu Shah

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
07 / 31 / 2011

Transaction ID: PR39316485040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Richard Y Siegel

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
07 / 31 / 2011

Transaction ID: PR39316515040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Jerry L Smith

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M M / D D / Y Y Y Y  
07 / 31 / 2011

Transaction ID: PR39316525040

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

166.64

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 107 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Andres D Steckl

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR39316545040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Mahesh Swaminathan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR39316595040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Michael E Tittmann

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR39316605040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

124.98

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 108 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Neil J Tufano Jr

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR39316615040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-  
i-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

James B. Walker

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR39316655040

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Richard S Zinman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR39316695040

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

208.30

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 109 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Julia M Belladonna

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR43824145040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Gardy A Berthoumieux

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR43824155040

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

John L. Bown

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR43824185040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

166.64

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 110 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Anthony A Dertouzos

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR43824265040

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Richard S Dutton

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR43824275040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Norman Epstein

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR43824295040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

166.64

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 111 / 139

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Robert J. Franz

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR43824315040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Arunas E Gudaitis

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR43824345040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Douglas T Healy

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR43824375040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

124.98

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 112 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Kenneth D. Hoffman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR43824395040

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Charles C Jellinghaus

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR43824415040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Jeffrey D Jennings

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1458.24

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR43824425040

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

333.30

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 113 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Michael G Kassab

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR43824455040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Guy C. Kearsley

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR43824475040

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Erik H Lee

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR43824495040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

166.64

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 114 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Anchi Lin

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR43824555040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Thomas M Lynch

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR43824595040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Daniel C Mathisson

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR43824625040

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

166.64

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 115 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Eric B Miller

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR43824655040

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Philip J Moineau

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR43824665040

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Thomas J Mullooly

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR43824695040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

208.30

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 116 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Thomas L Newberry

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR43824715040

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Sem-  
i-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Peter Norley

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR43824725040

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Walter Richard O'Connell

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR43824735040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

208.30

**TOTAL** This Period (last page this line number only) .....

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 117 / 139

(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Stephen O'Hare

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	7		3	1		2	0	1	1

Transaction ID: PR43824745040

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Sem-  
i-Monthly)**B.**

Full Name (Last, First, Middle Initial)

Maria C. Petersen

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	7		3	1		2	0	1	1

Transaction ID: PR43824755040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-  
i-Monthly)**C.**

Full Name (Last, First, Middle Initial)

Jason S. Rich

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	7		3	1		2	0	1	1

Transaction ID: PR43824765040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-  
i-Monthly)**SUBTOTAL** of Receipts This Page (optional) .....

166.64

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 118 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Sondra Rivers

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR43824785040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Elisabeth E. Rose

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR43824795040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Todd C Sandoz

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1458.24

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR43824815040

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

291.64

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 119 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Jonathan S Satran

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR43824825040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Angus M Scott

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR43824845040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Ryan B Sheftel

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR43824855040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

124.98

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 120 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Douglas J Trauber

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR43824865040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Steven Veneziano

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR43824875040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Brian J Walker

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR43824895040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

124.98

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 121 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Lara J. Warner

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1458.24

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR43824905040

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Se-  
mi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Bridget O Wren

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR43824915040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Ray Ying

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR43824925040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

291.64

**TOTAL** This Period (last page this line number only) .....

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 122 / 139

(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Eric A. Federman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	7		3	1		2	0	1	1

Transaction ID: PR43824955040

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Sem-  
i-Monthly)**B.**

Full Name (Last, First, Middle Initial)

Ivan Hrazdira

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	7		3	1		2	0	1	1

Transaction ID: PR43824975040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-  
i-Monthly)**C.**

Full Name (Last, First, Middle Initial)

Robin M Rankin

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	7		3	1		2	0	1	1

Transaction ID: PR43825075040

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Sem-  
i-Monthly)

SUBTOTAL of Receipts This Page (optional) .....

208.30

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 123 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Adam T. Garner

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

222.24

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR43825295040

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Robert H Huntington

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR56510765040

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

David Coulam

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR56510795040

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

222.20

**TOTAL** This Period (last page this line number only) .....

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 124 / 139

(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Richard J DellaRusso

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	7		3	1		2	0	1	1

Transaction ID: PR56510805040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-  
i-Monthly)**B.**

Full Name (Last, First, Middle Initial)

Karen S Wallace

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	7		3	1		2	0	1	1

Transaction ID: PR56510835040

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-  
i-Monthly)**C.**

Full Name (Last, First, Middle Initial)

Charles A Boorady

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	7		3	1		2	0	1	1

Transaction ID: PR61322585040

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Sem-  
i-Monthly)

SUBTOTAL of Receipts This Page (optional) .....

166.64

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 125 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Ravi M Singh

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

394.74

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR67759935040

Amount of Each Receipt this Period

263.16

P/R Deduction (\$131.58 Se-  
mi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Ari D Barkan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

222.24

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR67967955040

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Wallace S Cheng

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

222.24

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR67967985040

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

374.28

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 126 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

George Chrisafis

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

222.24

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR67967995040

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Daniel Collins

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

222.24

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR67968005040

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Christopher R Cottrell

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

222.24

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR67968015040

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

166.68

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 127 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Elizabeth A Danilek

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

222.24

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR67968025040

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Dean J. Decker

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

222.24

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR67968035040

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Noel B Donohoe

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

888.88

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR67968045040

Amount of Each Receipt this Period

222.22

P/R Deduction (\$111.11 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional) .....

333.34

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 128 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Allegra S Driscoll

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

222.24

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR67968055040

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Jens J Ernberg

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

222.24

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR67968075040

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Nigel K Faulkner

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

222.24

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR67968085040

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

166.68

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 129 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Carlos A. Garza

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

222.24

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR67968115040

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Paul E Germain

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

444.48

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR67968125040

Amount of Each Receipt this Period

111.12

P/R Deduction (\$55.56 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Lesley D Goldwasser Plutzik

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

444.48

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR67968145040

Amount of Each Receipt this Period

111.12

P/R Deduction (\$55.56 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

277.80

**TOTAL** This Period (last page this line number only) .....

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 130 / 139

(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Seamus G Kilkenny

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

222.24

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	7		3	1		2	0	1	1

Transaction ID: PR67968185040

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Nelson M Louie

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

444.48

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	7		3	1		2	0	1	1

Transaction ID: PR67968245040

Amount of Each Receipt this Period

111.12

P/R Deduction (\$55.56 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

David S Meyrowitz

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

222.24

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	7		3	1		2	0	1	1

Transaction ID: PR67968285040

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional) .....

222.24

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 131 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Todd Jay Mitty

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

222.24

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR67968295040

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Daniel J Otmar

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

222.24

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR67968315040

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

James H Rogan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

444.48

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR67968365040

Amount of Each Receipt this Period

111.12

P/R Deduction (\$55.56 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

222.24

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 132 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Geoffrey C Schiciano

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

222.24

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR67968375040

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Gabrielle A Sessa

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

222.24

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR67968385040

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Joel H Simkins

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

222.24

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR67968395040

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

166.68

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 133 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Andrew R.A. Stewart

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

444.48

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR67968405040

Amount of Each Receipt this Period

111.12

P/R Deduction (\$55.56 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Stephen R Stonberg

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

444.48

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR67968415040

Amount of Each Receipt this Period

111.12

P/R Deduction (\$55.56 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Roger Wacker

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

444.48

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR67968445040

Amount of Each Receipt this Period

111.12

P/R Deduction (\$55.56 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

333.36

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 134 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Oscar Bleetstein

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

205.87

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR67969195040

Amount of Each Receipt this Period

58.82

P/R Deduction (\$29.41 Sem-  
i-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Nikhilesh J Borcar

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

205.87

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR67969205040

Amount of Each Receipt this Period

58.82

P/R Deduction (\$29.41 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Carlos Guido Cerini

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

411.74

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR67969225040

Amount of Each Receipt this Period

117.64

P/R Deduction (\$58.82 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

235.28

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 135 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Richard F Fernandez

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

205.87

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR67969265040

Amount of Each Receipt this Period

58.82

P/R Deduction (\$29.41 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Frank Mangiafico

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

205.87

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR67969315040

Amount of Each Receipt this Period

58.82

P/R Deduction (\$29.41 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Shawn U Oxenham

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1029.42

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR67969335040

Amount of Each Receipt this Period

294.12

P/R Deduction (\$147.06 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

411.76

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 136 / 139

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Christopher S Scaring

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

411.81

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR67969385040

Amount of Each Receipt this Period

117.66

P/R Deduction (\$58.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Christopher L Young

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

375.00

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR68091175040

Amount of Each Receipt this Period

125.00

P/R Deduction (\$62.50 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Mark S Simonian

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.35

Date of Receipt

M M / D D / Y Y Y Y  
0 7 / 3 1 / 2 0 1 1

Transaction ID: PR68091195040

Amount of Each Receipt this Period

133.34

P/R Deduction (\$66.67 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

376.00

**TOTAL** This Period (last page this line number only) .....

29621.61

# **SCHEDULE B (FEC Form 3X)** **ITEMIZED DISBURSEMENTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 137 / 139

☐ 21b ☐ 22 ☒ 23 ☐ 24 ☐ 25 ☐ 26  
☐ 27 ☐ 28a ☐ 28b ☐ 28c ☐ 29 ☐ 30b

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Bob Casey For Senate Inc

Mailing Address 700 13th Street Nw  
Suite 600

City Washington State DC Zip Code 20005

Purpose of Disbursement  
Contribution to candidate

Candidate Name  
Sen. Robert Casey, Jr.

Office Sought: ☐ House  
☒ Senate  
☐ President

State: PA District:

Disbursement For: 2012  
☒ Primary ☐ General  
☐ Other (specify) ▼

Transaction ID: 10176733

Date of Disbursement

07 / 28 / 2011

Amount of Each Disbursement this Period

2000.00

Contribution to candidate

B.

Full Name (Last, First, Middle Initial)

Scott Garrett For Congress

Mailing Address P.O. Box 905

City Newton State NJ Zip Code 07860

Purpose of Disbursement  
Contribution to Candidate

Candidate Name  
Rep. Scott Garrett

Office Sought: ☒ House  
☐ Senate  
☐ President

State: NJ District: 05

Disbursement For: 2012  
☒ Primary ☐ General  
☐ Other (specify) ▼

Transaction ID: 10176734

Date of Disbursement

07 / 28 / 2011

Amount of Each Disbursement this Period

2000.00

Contribution to Candidate

C.

Full Name (Last, First, Middle Initial)

Common Values PAC

Mailing Address 406 Virginia Ave

City Alexandria State VA Zip Code 22302

Purpose of Disbursement  
Contribution to PAC

Candidate Name

Office Sought: ☐ House  
☐ Senate  
☐ President

State: District:

Disbursement For:  
☐ Primary ☐ General  
☐ Other (specify) ▼

Transaction ID: 10176735

Date of Disbursement

07 / 28 / 2011

Amount of Each Disbursement this Period

1000.00

Contribution to PAC

SUBTOTAL of Disbursements This Page (optional) .....

5000.00

TOTAL This Period (last page this line number only) .....

**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

PAGE 138 / 139

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

Any Information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

<b>A.</b>	Full Name (Last, First, Middle Initial) Heller For Senate	<b>Transaction ID:</b> 10176736																				
	Mailing Address PO Box 371907	Date of Disbursement <table border="1"> <tr> <td>M</td><td>M</td><td>/</td><td>D</td><td>D</td><td>/</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td> </tr> <tr> <td>0</td><td>7</td><td></td><td>2</td><td>8</td><td></td><td>2</td><td>0</td><td>1</td><td>1</td> </tr> </table>	M	M	/	D	D	/	Y	Y	Y	Y	0	7		2	8		2	0	1	1
M	M	/	D	D	/	Y	Y	Y	Y													
0	7		2	8		2	0	1	1													
	City Las Vegas State NV Zip Code 89137	Amount of Each Disbursement this Period																				
	Purpose of Disbursement Contribution to Candidate Candidate Name Sen. Dean Heller Office Sought: <input type="checkbox"/> House <input checked="" type="checkbox"/> Senate <input type="checkbox"/> President Disbursement For: 2012 <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ State: NV District:	<table border="1"> <tr> <td colspan="10">5000.00</td> </tr> </table>	5000.00																			
5000.00																						
		Contribution to Candidate																				
<b>B.</b>	Full Name (Last, First, Middle Initial) Lucas For Congress	<b>Transaction ID:</b> 10176737																				
	Mailing Address Post Office Box 1726	Date of Disbursement <table border="1"> <tr> <td>M</td><td>M</td><td>/</td><td>D</td><td>D</td><td>/</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td> </tr> <tr> <td>0</td><td>7</td><td></td><td>2</td><td>8</td><td></td><td>2</td><td>0</td><td>1</td><td>1</td> </tr> </table>	M	M	/	D	D	/	Y	Y	Y	Y	0	7		2	8		2	0	1	1
M	M	/	D	D	/	Y	Y	Y	Y													
0	7		2	8		2	0	1	1													
	City Oklahoma City State OK Zip Code 73101	Amount of Each Disbursement this Period																				
	Purpose of Disbursement Contribution to Candidate Candidate Name Mr. Frank Lucas Office Sought: <input checked="" type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President Disbursement For: 2012 <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ State: OK District: 06	<table border="1"> <tr> <td colspan="10">1000.00</td> </tr> </table>	1000.00																			
1000.00																						
		Contribution to Candidate																				
<b>C.</b>	Full Name (Last, First, Middle Initial) Kind for Congress	<b>Transaction ID:</b> 10176738																				
	Mailing Address 205 South 5th Ave Suite 428	Date of Disbursement <table border="1"> <tr> <td>M</td><td>M</td><td>/</td><td>D</td><td>D</td><td>/</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td> </tr> <tr> <td>0</td><td>7</td><td></td><td>2</td><td>8</td><td></td><td>2</td><td>0</td><td>1</td><td>1</td> </tr> </table>	M	M	/	D	D	/	Y	Y	Y	Y	0	7		2	8		2	0	1	1
M	M	/	D	D	/	Y	Y	Y	Y													
0	7		2	8		2	0	1	1													
	City La Crosse State WI Zip Code 54601	Amount of Each Disbursement this Period																				
	Purpose of Disbursement Contribution to Candidate Candidate Name Ron Kind Office Sought: <input checked="" type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President Disbursement For: 2012 <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ State: WI District: 03	<table border="1"> <tr> <td colspan="10">5000.00</td> </tr> </table>	5000.00																			
5000.00																						
		Contribution to Candidate																				

**SUBTOTAL** of Disbursements This Page (optional) .....

11000.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE B (FEC Form 3X)** **ITEMIZED DISBURSEMENTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 139 / 139

☐ 21b ☐ 22 ☒ 23 ☐ 24 ☐ 25 ☐ 26  
☐ 27 ☐ 28a ☐ 28b ☐ 28c ☐ 29 ☐ 30b

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

John Campbell For Congress

Mailing Address 4590 Macarthur Boulevard  
Suite 500

City Newport Beach State CA Zip Code 92660

Purpose of Disbursement  
Contribution to Candidate

Candidate Name  
Rep. John Campbell

Office Sought: ☒ House  
☐ Senate  
☐ President

State: CA District: 48

Disbursement For: 2012  
☒ Primary ☐ General  
☐ Other (specify) ▼

011  
Category/  
Type

Transaction ID: 10176739

Date of Disbursement

07 / 28 / 2011

Amount of Each Disbursement this Period

2000.00

Contribution to Candidate

**B.**

Full Name (Last, First, Middle Initial)

Israel for Congress

Mailing Address PO Box 777

City Deer Park State NY Zip Code 11729

Purpose of Disbursement  
Contribution to Candidate

Candidate Name  
Steve Israel

Office Sought: ☒ House  
☐ Senate  
☐ President

State: NY District: 02

Disbursement For: 2012  
☐ Primary ☒ General  
☐ Other (specify) ▼

011  
Category/  
Type

Transaction ID: 10176740

Date of Disbursement

07 / 28 / 2011

Amount of Each Disbursement this Period

2000.00

Contribution to Candidate

**C.**

Full Name (Last, First, Middle Initial)

Hoyer For Congress

Mailing Address 700 13th Street, Nw  
Suite 600

City Washington State DC Zip Code 20005

Purpose of Disbursement  
Contribution to Candidate

Candidate Name  
Steny Hoyer

Office Sought: ☒ House  
☐ Senate  
☐ President

State: MD District: 05

Disbursement For: 2012  
☐ Primary ☒ General  
☐ Other (specify) ▼

011  
Category/  
Type

Transaction ID: 10176741

Date of Disbursement

07 / 28 / 2011

Amount of Each Disbursement this Period

5000.00

Contribution to Candidate

**SUBTOTAL** of Disbursements This Page (optional) .....

9000.00

**TOTAL** This Period (last page this line number only) .....

25000.00